

Form PTO-1449		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		Attorney Docket Number BEAS-01453US1		Serial/Patent Number 09/721,557	
Information Disclosure Statement BY APPLICANT (Use several sheets if necessary)				Applicant/Patent Owner Mark S. Moriconi and Shelly Qian			
				Filing/Issue Date November 22, 2000		Group Art Unit 2188	
U.S. PATENTS							
Examiner Initial		Patent Number	Issue Date	First Named Inventor	Class	Subclass	Filing Date
U.S. PATENT PUBLICATIONS							
Examiner Initial		Patent Application Publication Number	Publication Date	Applicant			
PENDING U.S. PATENT APPLICATIONS							
Examiner Initial		Application Number	Filing Date	First Named Inventor	Pending to Expunge? Yes No		
					RECEIVED SEP 09 2003		

FOREIGN PATENT DOCUMENTS								Technology Center 2100	
Examiner Initial		Document Number	Publication Date	Country	Class	Subclass	Translation Yes No		
<i>Am</i>	1.	EP 0 398 645 A2	11/22/90	EP					
<i>Am</i>	2.	WO 98/40987	09/17/98	PCT					
<i>Am</i>	3.	WO 98/40992	09/17/98	PCT					
<i>Am</i>	4.	WO 98/54644	12/03/98	PCT					
<i>Am</i>	5.	WO 99/57624	11/11/99	PCT					
OTHER DOCUMENTS (Include author (if any), title, publisher and place of publication, date and pertinent pages)									
Examiner <i>Am</i>				Date Considered <i>7/13/04</i>					
*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.									
*1 = Copy not submitted because it was submitted in prior application SN <u> </u> / <u> </u> , filed <u> </u> , 20 <u> </u> , relied on under 35 USC §120. *2 = Copy not submitted because it was submitted in prior application SN <u> </u> / <u> </u> , filed <u> </u> , 20 <u> </u> , relied on under 35 USC §120.									

Please type a plus sign (+) inside this box

PTO/SB/08B (08-00)
Approved for use through 10/31/2002. OMB 0651-0031
U. S. Patent and Trademark Office: U. S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449B/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 2 of 2

Complete if Known

Application Number	09/721,557
Filing Date	November 22, 2000
First Named Inventor	Mark Moriconi
Group Art Unit	Unknown
Examiner Name	Unknown
Attorney Docket Number	PA1630US

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
JH		Website of ENTITLENET, INC., 6 Webpages, URL: www.entitlenet.com/ Publication Date Unknown, Download Date: 11-17-2000	
JH		JOHN FIELD, "From Authorization to Transactional Entitlement," Website of Transindigo, Inc., URL: www.transindigo.com/ Published: 09/2000	
JH		JOHN FIELD, "Dynamic Enterprise Entitlement," Website of Transindigo, Inc., URL: www.transindigo.com/ Published: 09/2000	
JH		JOHN FIELD, "Using Elara (TM)," Website of Transindigo, Inc., URL: www.transindigo.com/ Published: 09/2000	
JH		AUTHOR UNKNOWN, "AppShield (TM) Version 3.0," (White Paper), Website of Sanctum, Inc., URL: www.sanctuminc.com Published: 12/2000	

Examiner
Signature

Date
Considered

7/15/04

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U. S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

+

U. S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

+

Complete if Known

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 1

Application Number	09/721,557
Filing Date	November 22, 2000
First Named Inventor	Mark Moriconi
Group Art Unit	2188
Examiner Name	Unknown
Attorney Docket Number	PA1630US

RECEIVED

JUL 11 2002

Technology Center 2100

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS

[illegible]

Examiner Signature 

Date Considered	7/13/04
-----------------	---------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U. S. Patent and Trademark Office, Washington, DC 20231. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO:** Assistant Commissioner for Patents, Washington, DC 20231.

+

PTO/SB/08A (08-00)
Approved for Release through 10/31/2002. OMB 0651-0031
Trademark Office: U.S. DEPARTMENT OF COMMERCE

Substitute for form 1449A/PTO

(use as many sheets as necessary)

Sheet

1

of

2

Application Number

09/721.557

Filing Date

November 22, 2000

First Named Inventor

Mark Moriconi

Group Art Unit

Unknown

Examiner Name

Unknown

Attorney Docket Number

PA1630US

[illegible][illegible]

Examiner
Signature

Date _____

Considered

*EXAMINER Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U. S. Patent and Trademark Office, Washington, DC 20231. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO:** Assistant Commissioner for Patents, Washington, DC 20231.